



# Deferred Compensation Plan Enrollment Form

New Participants Only

## About Voya Financial®

At Voya (NYSE: VOYA) we're dedicated to helping people feel more confident about the future. For more than 40 years, we've helped millions of people like you be ready for it through employer-sponsored retirement plans and other financial solutions.

As the record keeper for the AR Diamond Plan, we will manage the daily servicing of the Plan and provide you with plan information, transaction processing, account statements, saving and investing education and more.

# Enrollment Form (New Participants Only)

Complete this form if you are enrolling in the Arkansas Diamond Deferred Compensation Plan for the first time. You must complete each part of this form, and provide your written signature as authorization. If you are an existing participant and wish to make changes to your current Deferral Elections or Investment Elections, you must either call 1.800.905.1833 or log on to the plan website at <https://myplan.voya.com>.

## PARTICIPANT INFORMATION

---

NAME

DATE OF BIRTH

---

SOCIAL SECURITY NUMBER

---

HOME ADDRESS

---

CITY

STATE

ZIP

---

DAYTIME TELEPHONE NUMBER

---

AGENCY NAME

AGENCY NUMBER (OPTIONAL)

---

## PART 1: DEFERRAL ELECTIONS (New Enrollments Only)

---

Your annual deferral (total of pre-tax and Roth) cannot exceed the lesser of 100% of your eligible compensation or \$20,500 for the 2022 calendar year (\$27,000 for participants who turn age 50 by December 31, 2022). Ceiling is adjusted each year per cost of living index.

- I elect to enroll in the Arkansas Diamond Deferred Compensation Plan (“Plan”) and I authorize my employer to defer the following amount each pay period. I understand that the maximum combined pre-tax and Roth after-tax deferral amount, plus catch-up contributions, cannot exceed the annual IRS maximum contribution amount. I understand that these contributions will be withheld from my paycheck and contributed to my Plan account. My election will be processed as soon as administratively possible. My contribution amount must be whole dollars or percent and a minimum of \$1.00 or 1% per pay period.

Deferral Election per pay period:

\$ or % \_\_\_\_\_ Pre-Tax Deferral Amount

\$ or % \_\_\_\_\_ Roth Deferral Amount

## PART 2: CHOOSE YOUR INVESTMENT ELECTIONS (New Enrollments Only)

The Plan offers 4 ways to invest your future contributions, including payroll deductions and rollover contributions or trust-to-trust transfers from prior 457 or qualified plans (you can only select one of the **4 options** available):

<p><b>Option A</b></p> <p>Choose among the investment options (percents must be whole and equal 100%).</p>	<p><b>Option B</b></p> <p>Choose one BlackRock LifePath Target Date Fund.</p>	<p><b>Option C</b></p> <p>Choose one of the Arkansas Diamond Asset Allocation Models (AAMs).</p>	<p><b>Option D</b></p> <p>Choose to invest in a Hybrid Asset Allocation Model (HAAM).</p>
--	---	--	---

**Note:**

If you have a current balance in the AR Diamond Deferred Compensation Plan and want to change your investment elections or change how your current balance is invested, you must either call **1.800.905.1833** or log on to the plan website at <https://myplan.voya.com>. Completing this form will not change your investments.

**Option A:**

I elect to invest among the investment options. I understand that I must use whole percentages when selecting among this list of funds and I understand my total amount must equal 100%.

Fund Name	Fund ID	Fund Name	Ticker Symbol	Election %
<b>Fixed Accounts</b>	N1	Nationwide Fixed Account	–	%
	V1	Fixed Account/VALIC	–	%
	DA	Interest Guarantee/Diversified	–	%
<b>Actively Managed Mutual Funds - Cash Equivalents</b>	Z2	BlackRock Treasury Trust Fund	TTXX	%
	Z1	Federated Government Obligations Tax-Managed Fund	GOAXX	%

Fund Name	Fund ID	Fund Name	Ticker Symbol	Election %
<b>Actively Managed Mutual Fund - Bonds</b>	45	BlackRock Low Duration Fund	CLDBX	%
	G1	Baird Core Plus I	BCOIX	%
	05	Loomis Sayles Global Bond N	LSGNX	%
	Z3	Lord Abbett High Yield R6	LHYVX	%
<b>Actively Managed Mutual Funds - Equity</b>	D1	Dodge and Cox Stock Fund	DODGX	%
	C4	T. Rowe Price Mid Cap Value Fund I	TRMIX	%
	85	T Rowe Price Small Cap Value	PRVIX	%
<b>Actively Managed Mutual Funds - Equity Growth</b>	CQ	PGIM Jennison Growth R6	PJFQX	%
	C3	Invesco Discovery Mid Cap Growth Fund R6	OEGIX	%
	CJ	Invesco Discovery R6	ODIIX	%
<b>Actively Managed Mutual Funds - International Equity</b>	VI	Vanguard FTSE All-Wld ex-US Idx Instl	VFWSX	%
	Z6	Wasatch International Growth Intl	WIIGX	%
	CF	Invesco Developing Markets Fund	ODVIX	%
	Z5	Columbia Overseas Value Instl3	COSYX	%
<b>Index Fund Series - Bonds</b>	VJ	Vanguard Total Bond Market Index Fund	VBTIX	%
<b>Index Fund Series - Equity</b>	VH	Vanguard Institutional Index Fund	VINIX	%
<b>Target Date Fund Series</b>	75	BlackRock LifePath Index Retirement	LIRKX	%
	77	BlackRock LifePath Index 2025	LIBKX	%
	78	BlackRock LifePath Index 2030	LINKX	%
	79	BlackRock LifePath Index 2035	LIJKX	%
	80	BlackRock LifePath Index 2040	LIKKX	%
	81	BlackRock LifePath Index 2045	LIHKX	%
	82	BlackRock LifePath Index 2050	LIPKX	%
	83	BlackRock LifePath Index 2055	LIVKX	%
	84	BlackRock LifePath Index 2060	LIZKX	%
			<b>Total</b>	<b>%</b>

**Option B:**

I elect one of the ten BlackRock LifePath Target Date funds.

Fund ID	Fund Name	Ticker Symbol	Select one
75	BlackRock LifePath Index Retirement	LIRKX	
77	BlackRock LifePath Index 2025	LIBKX	
78	BlackRock LifePath Index 2030	LINKX	
79	BlackRock LifePath Index 2035	LIJKX	
80	BlackRock LifePath Index 2040	LIKXX	
81	BlackRock LifePath Index 2045	LIHKX	
82	BlackRock LifePath Index 2050	LIPKX	
83	BlackRock LifePath Index 2055	LIVKX	
84	BlackRock LifePath Index 2060	LIZKX	

**Option C:**

I elect one of the five Arkansas Diamond Asset Allocation Models (AAMs).

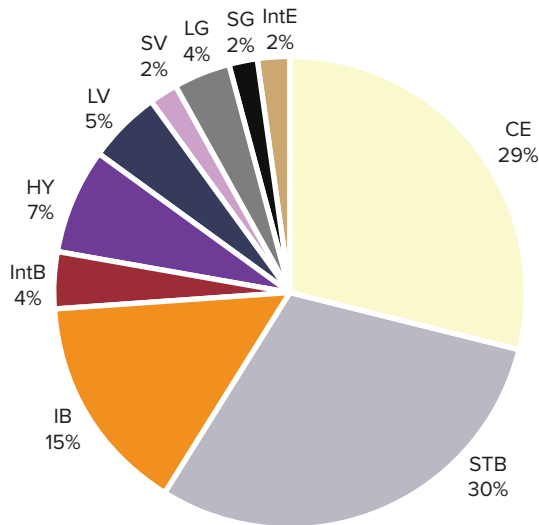
***Important Notes and Acknowledgements (check each box to confirm and accept):***

- The Asset Allocation Models (AAMs) contain a re-balancing feature that occurs automatically on a quarterly basis on the last business day of every quarter.
- By selecting an Asset Allocation Model (AAM), the balance that is invested in the Asset Allocation Model (AAM) will be subject to an additional fee of 25 basis points (0.25%) annually.** This fee is applied to balances in an Asset Allocation Model (AAM) on the last business day of the quarter.
- Future contributions and existing balances will be directed according to the election selected below.
- To transfer out of an Asset Allocation Model (AAM), which includes canceling the re-balancing and the additional fee of 25 basis points, you must contact a Customer Service Representative at 1-800-905-1833.

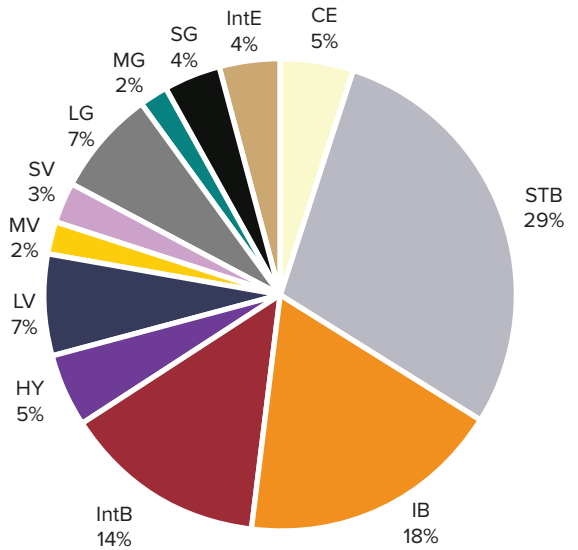
**Lifestyle Asset Allocation Model Election (AAM) (Please select only one model option):**

- Conservative AAM                       Conservative Moderate AAM                       Moderate AAM  
 Moderate Aggressive AAM                       Aggressive AAM

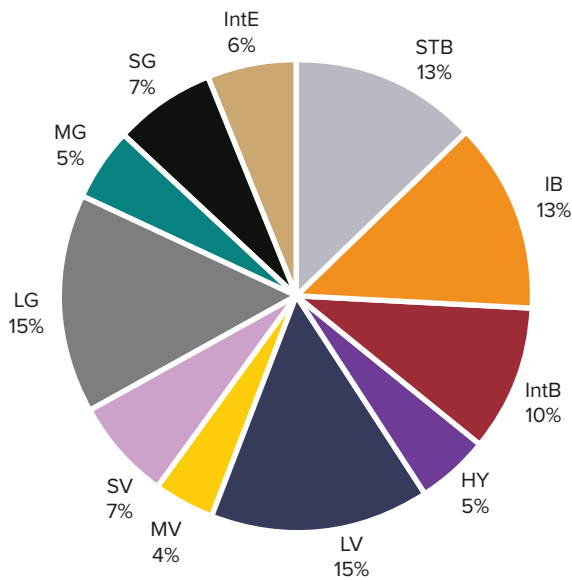
**Conservative**



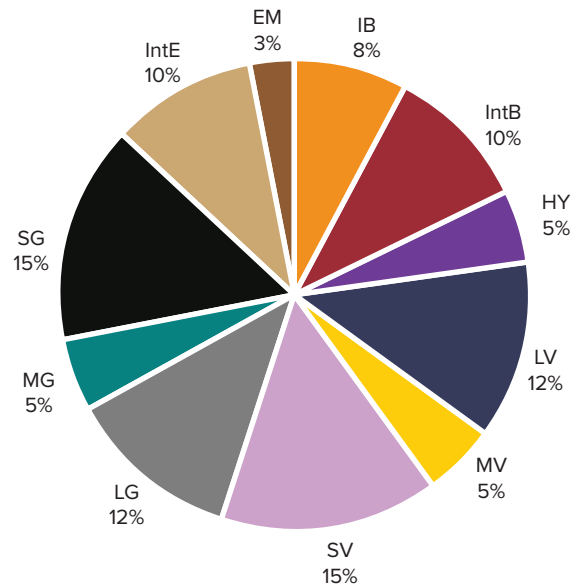
**Conservative Moderate**



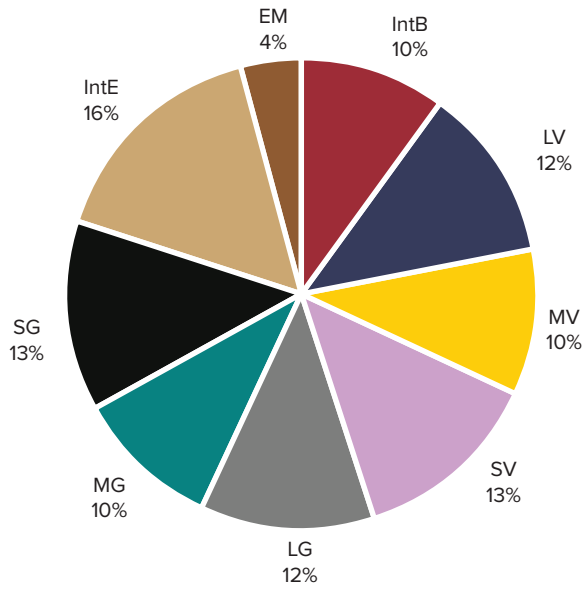
**Moderate**



**Moderate Aggressive**



## Aggressive



- **Equity Mid-Cap Value (MV)<**  
 T. Rowe Price Mid Cap Value Fund I>  
 Russell Mid-Cap® Value Index+  
 Mid-Cap Value®
- **Equity Small-Cap Value (SV)<**  
 T. Rowe Price Small Cap Value I>  
 Russell 2000 Value®+  
 Small Value®
- **Equity Large-Cap Growth (LG)<**  
 PGIM Jennison Growth R6>  
 Russell 1000 Growth+  
 Large Growth®
- **Equity Mid-Cap Growth (MG)<**  
 Invesco Discovery Mid Cap Growth Fund R6>  
 Russell Mid-Cap Growth® Index+  
 Mid-Cap Growth®
- **Equity Small-Cap Growth (SG)<**  
 Invesco Discovery Fund R6>  
 Russell 2000 Growth®+  
 Small Growth®
- **Equity International (IntE)<**  
 MSCI EAFE Value Index+  
 Foreign Large Value®  
 Wasatch Intl Growth I3–50%>  
 MSCI ACWI Ex US SmCp+  
 Foreign Small/Mid Growth®
- **Equity Emerging Markets (EM)<**  
 Invesco Developing Markets Y>  
 MSCI EMF Index+  
 Emerging Markets®

### Key:

- < Asset Class
- > Actively Managed Fund
- + Prospectus Benchmark
- @ Morningstar Category

- **Cash & Cash Equivalents (CE)<**  
 Federated Gov Oblg Tx Mngd Atmtd>  
 3 Month T-Bill+  
 Money Market®
- **Short-Term Bond (STB)<**  
 BlackRock Low Duration Bond K>  
 Merrill Lynch Corp & Gov 1-3 Year Index+  
 Short-Term Bond®
- **Immediate Bond (IB)<**  
 Baird Core Plus Inst>  
 Barclays US Universal Bond Index+  
 Intermediate Bond®
- **International Bond (IntB)<**  
 Loomis Sayles Global Bond N>  
 Barclays Global Aggregate Unhgd Index+  
 World Bond®
- **High Yield Bond (HY)<**  
 Lord Abbett High Yield R6>  
 Bofa ML High Yield Cnstd Index+  
 High Yield®
- **Equity Large-Cap Value (LV)<**  
 Dodge & Cox Stock Fund>  
 S&P 500+  
 Large Value®

**Option D:**

- I elect to invest in a Arkansas Diamond Hybrid Asset Allocation Model (HAAM).

***Important Notes and Acknowledgements (check each box to confirm and accept):***

- When selecting a Hybrid Asset Allocation Model (HAAM), please choose only ONE of the 3 Fixed Rate Options and only ONE of the 5 Lifestyle Asset Allocation Models (AAMs). When selecting a fixed rate option (ONE MODEL and FIXED RATE OPTION), you will need to make this election in 10% increments and only one fixed rate option may be selected. Please note, when choosing a Hybrid Asset Allocation Model (HAAM), the total between the Fixed Rate Option and Lifestyle AAM must equal 100%. Future contributions will be directed according to your Hybrid Asset Allocation Model (HAAM) election and all existing balances will be redirected to your selected Hybrid Asset Allocation Model (HAAM) at the end of the quarter in which your Hybrid Asset Allocation Model (HAAM) is processed.
- The Hybrid Asset Allocation Models (HAAMs) contain a re-balancing feature that occurs automatically on a quarterly basis on the last business day of every quarter. Re-balancing does include any monies in the Fixed Rate Option.
- By selecting a Hybrid Asset Allocation Model (HAAM), the balance that is invested in the Hybrid Asset Allocation Model will be subject to an additional fee of 25 basis points (0.25%) annually. With a Hybrid Asset Allocation Model (HAAM), your entire balance will be subject to the annual additional fee. This fee is applied to balances in a Hybrid Asset Allocation Model (HAAM) on the last business day of the quarter.
- Future contributions and existing balances will be directed according to the election selected below.
- To transfer out of a Hybrid Asset Allocation Model (HAAM), which includes canceling the re-balancing and the additional fee of 25 basis points, you must contact a Customer Service Representative at 1-800-905-1833.
- To make changes to your Hybrid Asset Allocation Model (HAAM), which includes changing fixed rate options and Asset Allocation Models (AAM), you must contact the local office to obtain and fill out an Arkansas Diamond Asset Allocation Model Selection and Disclosure Form – Hybrid Models.
- The Field Representative provided the corresponding fund and percentage breakdown chart and I understand how my account will be setup according to this chart and my election above.

### Step 1

Please indicate the percentage you want invested in one of the Asset Allocation Models (AAMs) and the percentage you want invested in the Fixed Account Option (must use whole percentages):

\_\_\_\_\_ % AAM Model                      \_\_\_\_\_ % Fixed Account Option

### Step 2

Select one of the Asset Allocation Models (AAMs) by checking one box only:

- Conservative AAM
- Conservative Moderate AAM
- Moderate AAM
- Moderate Aggressive AAM
- Aggressive AAM

### Step 3

Select one of the Fixed Account Options by checking one box only:

- Nationwide Fixed Account
- VALIC Fixed Account
- Diversified Interest Guarantee

## **PART 3: AUTHORIZATION**

---

I have completed, understand and agree to all pages of this Arkansas Diamond Deferred Compensation Plan (“Plan”) Enrollment Form. I understand this form is to be completed for new enrollments only. I authorize my employer to deduct the amount set forth each pay period to the Plan, and I authorize the Plan to invest my new account contributions and future rollover or trust-to-trust transfers according to the option I have selected. This agreement will continue until further notice by me, as set forth in the Plan.

***Failure to select Option A, Option B, Option C, Option D elections that do not equal 100% or not making whole percentage elections under Option A, will result in a 100% investment into the Plan’s default fund, the BlackRock LifePath Fund most closely associated with your projected retirement date.***

Please fax completed form to **1.501.301.8479** or mail to:

**Voya Financial  
Attn: Arkansas Diamond  
Deferred Compensation Plan  
P.O. Box 389  
Hartford, CT 06141**

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**



# AR Diamond Deferred Compensation Plan

## Beneficiary Information

Mail completed form to: VOYA, ATTN: Arkansas Diamond Plan PO Box 389, Hartford, CT 06141 or Fax to 1.888.310.5742

<b>1</b> <b>Your personal information</b>	Your Full Name: _____  Social Security Number: _____ Date of birth: _____ Phone No. _____  Home Address: _____  City: _____ State: _____ Zip Code: _____																																																		
<b>2</b> <b>Your primary and secondary beneficiary designation (s)</b>	<p>I understand that if more than one person is named as a primary beneficiary you must designate a percentage for each beneficiary and the total must equal 100%. If any primary beneficiary has predeceased me the surviving primary beneficiary or beneficiaries will have their percentages increased on a pro rata basis.</p> <p>A. Primary Beneficiary – Please designate some person, persons or class of persons (such as surviving children).</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-bottom: 10px;"> <thead> <tr> <th style="width: 30%;">Name</th> <th style="width: 20%;">Relationship</th> <th style="width: 10%;">% Payable</th> <th style="width: 20%;">Date of birth</th> <th style="width: 20%;">Social Sec. No.</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> </tbody> </table> <p>I understand that if more than one person is named as a contingent beneficiary you must designate a percentage for each beneficiary and the total must equal 100.</p> <p>B. Secondary Beneficiary – If you choose to complete this section, please designate some other person, persons or class of persons.</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Name</th> <th style="width: 20%;">Relationship</th> <th style="width: 10%;">% Payable</th> <th style="width: 20%;">Date of birth</th> <th style="width: 20%;">Social Sec. No.</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td style="text-align: center;">%</td><td> </td><td> </td></tr> </tbody> </table>	Name	Relationship	% Payable	Date of birth	Social Sec. No.			%					%					%					%			Name	Relationship	% Payable	Date of birth	Social Sec. No.			%					%					%					%		
Name	Relationship	% Payable	Date of birth	Social Sec. No.																																															
		%																																																	
		%																																																	
		%																																																	
		%																																																	
Name	Relationship	% Payable	Date of birth	Social Sec. No.																																															
		%																																																	
		%																																																	
		%																																																	
		%																																																	
<b>3</b> <b>Authorization and Acknowledgement</b>	<p>If all my primary beneficiaries predecease me and more than one person is named as contingent beneficiary, I understand that payment is to be made to my contingent beneficiaries based on the percentage amount listed above. If any contingent beneficiary has predeceased me, payment is to be made to the surviving contingent beneficiary or beneficiaries in a pro-rata basis depending current percentages. In the event all of my primary and contingent beneficiaries have predeceased me and there is no other beneficiary designation on file with Voya I understand that my Plan benefits shall be paid to my surviving spouse, or if I have no surviving spouse, to the executors and/or administrators of my estate.</p> <p>The execution of this form and delivery thereof to Voya revokes all prior designations that I have made with Voya. This form applies only to funds held within the Arkansas Diamond Deferred Compensation Plan Program at Voya.</p> <p>Participant Signature: _____ Date: _____</p>																																																		